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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/715,623	11/18/2003	Kenichi Furukawa	CU-3456 RJS	4334

26530 7590 02/28/2007  
LADAS & PARRY LLP  
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CHICAGO, IL 60604

EXAMINER
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HALEY, JOSEPH R

ART UNIT	PAPER NUMBER
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2627

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/28/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

# Office Action Summary

Application No.

10/715,623

Applicant(s)

FURUKAWA ET AL.

Examiner

Joseph Haley

Art Unit

2627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 04 December 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-2, 4-5, 7 and 8 are rejected under 35 U.S.C. 102(b) as being anticipated by Miyata (US 6052347).

In regard to claim 1, Miyata teaches An optical disk apparatus capable of recording a signal on an optical disk by directing an optical beam thereon at a plurality of recording speeds (see fig. 4), said apparatus comprising: a condition measuring position storing part that stores one or more condition measuring positions at which a condition of the signal is measured for each of the recording speeds (fig. 12 element 41); and a signal condition measuring part that measures the condition of the signal by suspending a recording operation at the condition measuring positions stored in said condition measuring position storing part (fig. 12 element 43), wherein, in said condition measuring position storing part, the condition measuring positions for a recording speed whose level is one level lower than a level of a predetermined recording speed are set to positions shifted for a predetermined time from respective condition measuring positions for the predetermined recording speed (see fig. 8 elements 11 and 15. The opc area 11 is at a location that has a lower speed than the

predetermined speed of area 15, both of which are set at predetermined positions at predetermined times).

In regard to claim 2, Miyata teaches wherein the predetermined time is set to a time interval from when the predetermined recording speed is changed to the lower level recording speed until the recording operation is stabilized after the recording operation is resumed at the lower level recording speed (See fig. 4. The predetermined time is the time it takes to get from zone 1 to zone 2).

In regard to claim 4, see claim 1 rejection above.

In regard to claim 5, see claim 2 rejection above.

In regard to claim 7, Miyata teaches a condition measuring position setting method of setting a condition measuring position at which a condition of a signal recorded on an optical disk is measured by an optical disk apparatus capable of recording the signal on the optical disk by focusing an optical beam thereon at a plurality of recording speeds (see fig. 4), said method comprising the steps of: arbitrarily setting first condition measuring positions for a maximum recording speed (fig. 6 element 15 corresponds to the maximum speed); setting second condition measuring positions for a second recording speed whose level is one level lower than a level of the maximum recording speed to positions that are shifted for a predetermined time from the respective first condition measuring positions (fig. 6. element 11); and when setting third condition measuring positions for a third recording speed whose level is lower than the level of the second recording speed, setting the third condition measuring positions to positions that are shifted for the predetermined

time from respective measuring positions of a recording speed whose level is one level higher than the level of the third recording speed (see the second OPC in zone 2 of fig. 6).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 3, 6 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Miyata.

In regard to claims 3, 6 and 9, Miyata teaches all the elements of these claims except wherein the predetermined time is set to two minutes.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have the predetermined time is set to two minutes.

The motivation would have been: optimization/experimentation in the course of routine engineering. Moreover, absent a showing of criticality, i.e., unobvious or unexpected results, the relationships set forth in claims 3, 6 and 9 are considered to be within the level of ordinary skill in the art.

Additionally, the law is replete with cases in which the mere difference between the claimed invention and the prior art is some range, variable or other dimensional limitation within the claims, patentability cannot be found.

It furthermore has been held in such a situation, the applicant must show that the

particular range is critical, generally by showing that the claimed range achieves unexpected results relative to the prior art range(s); see *In re Woodruff*, 919 F.2d 1575, 1578, 16 USPQ2d 1934, 1936 (Fed. Cir. 1990).

Moreover, the instant disclosure does not set forth evidence ascribing unexpected results due to the claimed dimensions; see *Gardner v. TEC Systems, Inc.*, 725 F.2d 1338 (Fed. Cir. 1984), which held that the dimensional limitations failed to point out a feature which performed and operated any differently from the prior art.

### ***Response to Arguments***

Applicant's arguments filed 12/4/06 have been fully considered but they are not persuasive. In regard to applicant's arguments on page 3, paragraph 5, applicant argues that Miyata does not show condition measuring positions as claimed in the present invention. However, the examiner maintains this rejection because the power of the recording beam is a recording condition.

Applicant also argues in paragraph 5 that there is no showing that the recording speed of the OPC area 15 is one level lower than the recording speed for OPC area 11. Figure 3 shows a zonal constant linear velocity system where the speed changes as a function of radius making the speed of area 15 one level lower than area 11.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Haley whose telephone number is 571-272-0574. The examiner can normally be reached on M-F 8:30am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Korzuch can be reached on 571-272-7589. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



**TAN DINH**  
**PRIMARY EXAMINER**

2/26/07